# Examples and applications of noncommutative geometry and K-theory 

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## M UNIVERSITY OF MARYLAND

Escuela de Invierno Luis Santaló Buenos Aires, August 2-6, 2010


## Plan of the Lectures

(1) Introduction to Kasparov's KK-theory.
(2) K-theory and KK-theory of crossed products.
(3) The universal coefficient theorem for $K K$ and some of its applications.
(1) A fundamental example in noncommutative geometry: topology and geometry of the irrational rotation algebra.
(6) Applications of the irrational rotation algebra in number theory and physics.

Notes available at
www.math.umd.edu/~jmr/BuenosAires/

## Part I

## Introduction to Kasparov's KK-theory

## What is $K K$ ?

$K K$-theory is a bivariant version of topological K-theory, due to Gennadi Kasparov, defined for $C^{*}$-algebras, with or without a group action. It can be defined for either real or complex algebras, but in this course we will stick to separable complex algebras for simplicity. For such algebras $A$ and $B$, an abelian group $K K(A, B)$ is defined, with the property that $K K(\mathbb{C}, B)=K(B)=K_{0}(B)$ if the first algebra $A$ is just the scalars.

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A class in $K K(A, B)$ gives rise to a map $K(A) \rightarrow K(B)$, but also to a natural family of maps $K(A \otimes C) \rightarrow K(B \otimes C)$ for all C. I.e., it gives a natural tranformation from the functor $K\left(A \otimes \_\right)$to the functor $K\left(B \otimes \_\right)$. Here $\otimes$ is the completed (minimal) tensor product.

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This is almost the definition - for $A$ and $B$ nice enough, any such natural transformation comes from a $K K$ element.

## Why KK?

Let's take $A$ and $B$ are commutative. Thus $A=C_{0}(X)$ and $B=C_{0}(Y)$, where $X$ and $Y$ are locally compact Hausdorff. We will abbreviate $K K\left(C_{0}(X), C_{0}(Y)\right)$ to $K K(X, Y)$. We want $K K\left(\mathbb{C}, C_{0}(Y)\right)=K K(p t, Y)=K(Y)$, the $K$-theory of $Y$ with compact support, the Grothendieck group of complexes of vector bundles over $Y$ that are exact off a compact set, or the reduced K-theory $\widetilde{K}\left(Y_{+}\right)$of the one-point compactification $Y_{+}$of $Y$.

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## Connection with elliptic operators

Bu how do we prove that $\beta_{E}$ is an isomorphism? The simplest way would be to construct an inverse map $\alpha_{E}: K(E) \rightarrow K(X)$. As Atiyah recognized, $\alpha_{E}$ uses elliptic operators, in fact the family of Dolbeault operators along the fibers of $E$. We want a class $\alpha_{E}$ in $K K(E, X)$ corresponding to this family of operators, and the Thom isomorphism theorem is a Kasparov product calculation, the fact that $\alpha_{E}$ is a $K K$ inverse to the class $\beta_{E} \in K K(X, E)$. Atiyah also noticed it's enough to prove that $\alpha_{E}$ is a one-way inverse to $\beta_{E}$, or in other words, in the language of Kasparov theory, that $\beta_{E} \otimes_{E} \alpha_{E}=1_{X}$. This comes down to an index calculation, which because of naturality comes down to the single calculation $\beta \otimes_{\mathbb{C}} \alpha=1 \in K K(\mathrm{pt}, \mathrm{pt})$ when $X$ is a point and $E=\mathbb{C}$, which amounts to the Riemann-Roch theorem for $\mathbb{C P}^{1}$.

## How to think about KK?

The example of Atiyah's class $\alpha_{E} \in K K(E, X)$, based on a family of elliptic operators over $E$ parametrized by $X$, shows that one gets an element of the bivariant $K$-group $K K(X, Y)$ from a family of elliptic operators over $X$ parametrized by $Y$. The element that one gets should be invariant under homotopies of such operators. Hence Kasparov's definition of $K K(A, B)$ is based on a notion of homotopy classes of generalized elliptic operators for the first algebra $A$, "parametrized" by the second algebra $B$ (and thus commuting with a $B$-module structure).

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- $\phi(a)\left(T^{2}-1\right) \in \mathcal{K}(\mathcal{H}) \forall a \in A$ (ellipticity),
- $[\phi(a), T] \in \mathcal{K}(\mathcal{H}) \forall a \in A$ (pseudolocality).


## Comments on the definition

If $B=C_{0}(Y)$, a Hilbert $B$-module is equivalent to a continuous field of Hilbert spaces over $Y$. In this case, $\mathcal{K}(\mathcal{H})$ is the continuous fields of compact operators, while $\mathcal{L}(\mathcal{H})$ consists of strong-* continuous fields of bounded operators. In general, a Hilbert $B$-module means a right $B$-module equipped with a $B$-valued inner product $\left\langle \_,\right\rangle_{B}$, right $B$-linear in the second variable, satisfying $\langle\xi, \eta\rangle_{B}=\langle\eta, \xi\rangle_{B}^{*}$ and $\langle\xi, \xi\rangle_{B} \geq 0$, with equality only if $\xi=0$. Such an inner product gives rise to a norm on $\mathcal{H}:\|\xi\|=\left\|\langle\xi, \xi\rangle_{B}\right\|_{B}^{1 / 2}$, and we require $\mathcal{H}$ to be complete with respect to this norm. The $C^{*}$-algebra $\mathcal{L}(\mathcal{H})$, consists of bounded adjointable $B$-linear operators $a$ on $\mathcal{H}$, i.e., with an adjoint $a^{*}$ such that $\langle a \xi, \eta\rangle_{B}=\left\langle\xi, a^{*} \eta\right\rangle_{B}$ for all $\xi, \eta \in \mathcal{H}$. Inside $\mathcal{L}(\mathcal{H})$ is the ideal of $B$-compact operators $\mathcal{K}(\mathcal{H})$. This is the closed linear span of the "rank-one operators" $T_{\xi, \eta}$ defined by $T_{\xi, \eta}(\nu)=\xi\langle\eta, \nu\rangle_{B}$.

## Examples

The simplest kind of Kasparov bimodule is associated to a homomorphism $\phi: A \rightarrow B$. In this case, we simply take $\mathcal{H}=\mathcal{H}_{0}=B$, viewed as a right $B$-module, with the $B$-valued inner product $\left\langle b_{1}, b_{2}\right\rangle_{B}=b_{1}^{*} b_{2}$, and take $\mathcal{H}_{1}=0$ and $T=0$. In this case, $\mathcal{L}(\mathcal{H})=M(B)$ (the multiplier algebra of $B$, the largest $C^{*}$-algebra containing $B$ as an essential ideal), and $\mathcal{K}(\mathcal{H})=B$. So $\phi$ maps $A$ into $\mathcal{K}(\mathcal{H})$, and even though $T=0$, the condition that $\phi(a)\left(T^{2}-1\right) \in \mathcal{K}(\mathcal{H})$ is satisfied for any $a \in A$.

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One special case which is especially important is the case where $A=B$ and $\phi$ is the identity map. The above construction then yields a distinguished element $1_{A} \in K K(A, A)$, which will play an important role later.
In applications to index theory, Kasparov $A$ - $B$-bimodules typically arise from elliptic (or hypoelliptic) pseudodifferential operators. Kasparov bimodules also arise from quasihomomorphisms.

## The equivalence relation

There is a natural associative addition on Kasparov bimodules, obtained by taking the direct sum of Hilbert $B$-modules and the block direct sum of homomorphisms and operators. Then we divide out by the equivalence relation generated by addition of degenerate Kasparov bimodules (those for which for all $a \in A$, $\phi(a)\left(T^{2}-1\right)=0$ and $\left.[\phi(a), T]=0\right)$ and by homotopy. (A homotopy of Kasparov $A$ - $B$-bimodules is just a Kasparov $A-C([0,1], B)$-bimodule.) Then it turns out that $\operatorname{KK}(A, B)$ is actually an abelian group, with inversion given by reversing the grading, i.e., reversing the roles of $\mathcal{H}_{0}$ and $\mathcal{H}_{1}$, and interchanging $F$ and $F^{*}$. It is not really necessary to divide out by degenerate bimodules, since if $(\mathcal{H}, \phi, T)$ is degenerate, then $C_{0}((0,1], \mathcal{H})$ (along with the action of $A$ and the operator which are given by $\phi$ and $T$ at each point of $(0,1])$ is a homotopy from $(\mathcal{H}, \phi, T)$ to the 0 -module.

## Relation with K-theory

An interesting exercise is to consider what happens when $A=\mathbb{C}$ and $B$ is a unital $C^{*}$-algebra. Then if $\mathcal{H}_{0}$ and $\mathcal{H}_{1}$ are finitely generated projective (right) $B$-modules and we take $T=0$ and $\phi$ to be the usual action of $\mathbb{C}$ by scalar multiplication, we get a Kasparov $\mathbb{C}$ - $B$-bimodule corresponding to the element $\left[\mathcal{H}_{0}\right]-\left[\mathcal{H}_{1}\right]$ of $K_{0}(B)$. With some work one can show that this gives an isomorphism between the Grothendieck group $K_{0}(B)$ of usual $K$-theory and $K K(\mathbb{C}, B)$. By considering what happens when one adjoins a unit, one can then show that there is still a natural isomorphism between $K_{0}(B)$ and $K K(\mathbb{C}, B)$, even if $B$ is nonunital.

## Morita equivalence

Suppose $A$ and $B$ are Morita equivalent in the sense of Rieffel.
That means we have an $A$ - $B$-bimodule $X$ with the following special properties:
(1) $X$ is a right Hilbert $B$-module and a left Hilbert $A$-module.
(2) The left action of $A$ is by bounded adjointable operators for the $B$-valued inner product, and the right action of $B$ is by bounded adjointable operators for the $A$-valued inner product.
(3) The $A$ - and $B$-valued inner products on $X$ are compatible in the sense that if $\xi, \eta, \nu \in X$, then ${ }_{A}\langle\xi, \eta\rangle \nu=\xi\langle\eta, \nu\rangle_{B}$.
(9) The inner products are "full," in the sense that the image of $A\left\langle_{-},{ }_{-}\right\rangle$is dense in $A$, and the image of $\left\langle_{\ldots},{ }_{-}\right\rangle_{B}$ is dense in $B$.
Under these circumstances, $X$ defines classes in $[X] \in K K(A, B)$ and $[\widetilde{X}] \in K K(B, A)$ which are inverses to each other (with respect to the product discussed below).

## The product

The hardest aspect of Kasparov's approach to $K K$ is to prove that there is a well-defined, functorial, bilinear, and associative product $\otimes_{B}: K K(A, B) \times K K(B, C) \rightarrow K K(A, C)$. There is also an external product
$\boxtimes: K K(A, B) \times K K(C, D) \rightarrow K K(A \otimes C, B \otimes D)$, where $\otimes$ denotes the completed minimal or spatial $C^{*}$-tensor product.

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$\boxtimes: K K(A, B) \times K K(C, D) \rightarrow K K(A \otimes C, B \otimes D)$, where $\otimes$ denotes the completed minimal or spatial $C^{*}$-tensor product. The external product is built from the usual product using dilation (external product with 1 ). We can dilate a class $a \in K K(A, B)$ to a class $a \boxtimes 1_{C} \in K K(A \otimes C, B \otimes C)$, by taking a representative $(\mathcal{H}, \phi, T)$ for $a$ to the bimodule $\left(\mathcal{H} \otimes C, \phi \otimes 1_{C}, T \otimes 1\right)$. Similarly, we can dilate a class $b \in K K(C, D)$ (on the other side) to a class $1_{B} \boxtimes b \in K K(B \otimes C, B \otimes D)$. Then

$$
a \boxtimes b=\left(a \boxtimes 1_{C}\right) \otimes_{B \otimes C}\left(1_{B} \boxtimes b\right) \in K K(A \otimes C, B \otimes D),
$$

and this is the same as $\left(1_{A} \boxtimes b\right) \otimes_{A \otimes D}\left(a \boxtimes 1_{D}\right)$.

## More on the products

The Kasparov products include all the usual cup and cap products relating $K$-theory and $K$-homology. For example, the cup product in ordinary topological $K$-theory for a compact space $X$, $\cup: K(X) \times K(X) \rightarrow K(X)$, is a composite of two products:

$$
a \cup b=(a \boxtimes b) \otimes_{C}(X \times X) \Delta,
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where $\Delta \in K K(C(X \times X), C(X))$ is the class of the diagonal map $X \rightarrow X \times X$.

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where $\Delta \in K K(C(X \times X), C(X))$ is the class of the diagonal map $X \rightarrow X \times X$. Suppose we have classes represented by $\left(\mathcal{E}_{1}, \phi_{1}, T_{1}\right)$ and $\left(\mathcal{E}_{2}, \phi_{2}, T_{2}\right)$, where $\mathcal{E}_{1}$ is a right Hilbert $B$-module, $\mathcal{E}_{2}$ is a right Hilbert $C$-module, $\phi_{1}: A \rightarrow \mathcal{L}\left(\mathcal{E}_{1}\right)$, $\phi_{2}: B \rightarrow \mathcal{L}\left(\mathcal{E}_{2}\right)$, $T_{1}$ essentially commutes with the image of $\phi_{1}$, and $T_{2}$ essentially commutes with the image of $\phi_{2}$. It is clear that we want to construct the product using $\mathcal{H}=\mathcal{E}_{1} \otimes_{B, \phi_{2}} \mathcal{E}_{2}$ and $\phi=\phi_{1} \otimes 1: A \rightarrow \mathcal{L}(\mathcal{H})$. The main difficulty is getting the correct operator $T$. In fact there is no canonical choice; the choice is only unique up to homotopy, and is defined using the Connes-Skandalis notion of a connection.

## Cuntz's approach

Joachim Cuntz noticed that all Kasparov bimodules come from a quasihomomorphism $A \rightrightarrows D \unrhd B$, a formal difference of two homomorphisms $f_{ \pm}: A \rightarrow D$ which agree modulo an ideal isomorphic to $B$. Thus $a \mapsto f_{+}(a)-f_{-}(a)$ is a linear map $A \rightarrow B$. Suppose for simplicity (one can always reduce to this case) that $D / B \cong A$, so that $f_{ \pm}$ are two splittings for an extension $0 \rightarrow B \rightarrow D \rightarrow A \rightarrow 0$. Then for any split-exact functor $F$ from $C^{*}$-algebras to abelian groups (meaning it sends split extensions to short exact sequences - an example would be $F(A)=K(A \otimes C)$ for some coefficient algebra $C)$, we get an exact sequence

$$
0 \longrightarrow F(B) \longrightarrow F(D) \underset{\left(f_{-}\right)_{*}}{\stackrel{\left(f_{+}\right)_{*}}{\rightleftarrows}} F(A) \longrightarrow 0 .
$$

Thus $\left(f_{+}\right)_{*}-\left(f_{-}\right)_{*}$ gives a well-defined homomorphism $F(A) \rightarrow F(B)$, which we might well imagine should come from a class in $K K(A, B)$.

## Cuntz's universal construction

A quasihomomorphism $A \rightrightarrows D \unrhd B$ factors through a universal algebra $q A$. Start with the free product $C^{*}$-algebra $Q A=A * A$, the completion of linear combinations of words in two copies of $A$. There is an obvious morphism $Q A \rightarrow A$ obtained by identifying the two copies of $A$. The kernel of $Q A \rightarrow A$ is called $q A$, and if $0 \rightarrow B \rightarrow D \underset{f_{-}}{\stackrel{f_{+}}{\rightleftarrows}} A \rightarrow 0$ is a quasihomomorphism, we get a commutative diagram

with the first copy of $A$ in $Q A$ mapping to $D$ via $f_{+}$, and the second copy of $A$ in $Q A$ mapping to $D$ via $f_{-}$. In this way $K K(A, B)$ turns out to be simply the set of homotopy classes of $*$-homomorphisms from $q A$ to $B \otimes \mathcal{K}$.

## Higson's approach

Higson proposed making an additive category KK whose objects are the separable $C^{*}$-algebras, and where the morphisms from $A$ to $B$ are given by $K K(A, B)$. Associativity and bilinearity of the Kasparov product, along with properties of the special elements $1_{A} \in K K(A, A)$, ensure that this is indeed an additive category.

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(1) Matrix stability. If $A$ is an object in KK (that is, a separable $C^{*}$-algebra) and if $e$ is a rank-one projection in $\mathcal{K}=\mathcal{K}(\mathcal{H}), \mathcal{H}$ a separable Hilbert space, then the homomorphism $a \mapsto a \otimes e$, viewed as an element of $\operatorname{Hom}(A, A \otimes \mathcal{K})$, is an equivalence in $\mathbf{K K}$, i.e., has an inverse in $K K(A \otimes \mathcal{K}, A)$.

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(2) Split exactness. $K K$ takes splits short exact sequences to split short exact sequences (in either variable).

## Part II

## K-theory and KK-theory of crossed products

## Equivariant Kasparov theory

$G$ will be a second-countable locally compact group. A $G-C^{*}$-algebra will mean a $C^{*}$-algebra $A$ with a jointly continuous action of $G$ on $A$ by *-automorphisms. If $G$ is compact, making $K K$-theory equivariant is straightforward. We just require all algebras and Hilbert modules to be equipped with $G$-actions, we require $\phi: A \rightarrow \mathcal{L}(\mathcal{H})$ to be $G$-equivariant, and we require the operator $T \in \mathcal{L}(\mathcal{H})$ to be $G$-invariant. We get groups $K K^{G}(A, B)$ for (separable, say) $G-C^{*}$-algebras $A$ and $B$, and the same argument as before shows that $K K^{G}(\mathbb{C}, B) \cong K_{0}^{G}(B)$, equivariant $K$-theory. In particular, $K K^{G}(\mathbb{C}, \mathbb{C}) \cong R(G)$, the representation ring of $G$. For example, if $G$ is compact and abelian, $R(G) \cong \mathbb{Z}[\widehat{G}]$, the group ring of the Pontrjagin dual. If $G$ is a compact connected Lie group with maximal torus $T$ and Weyl group $W=N_{G}(T) / T$, then $R(G) \cong R(T)^{W} \cong \mathbb{Z}[\widehat{T}]^{W}$. The properties of the Kasparov product all go through, and product with $K K^{G}(\mathbb{C}, \mathbb{C})$ makes all $K K^{G}$-groups into modules over the ground ring $R(G)$.

## The case of noncompact groups

When $G$ is noncompact, the definition and properties of $K K^{G}$ are considerably more subtle, and were worked out by Kasparov. The problem is that in this case, topological vector spaces with a continuous $G$-action are very rarely completely decomposable, and there are rarely enough $G$-equivariant operators to give anything useful. Kasparov's solution was to work with G-continuous rather than G-equivariant Hilbert modules and operators; rather remarkably, these still give a useful theory with all the same formal properties as before. The $K K^{G}$-groups are again modules over the commutative ring $R(G)=K K^{G}(\mathbb{C}, \mathbb{C})$, though this ring no longer has such a simple interpretation as before, and in fact, is not known for most connected semisimple Lie groups.

## Functorial properties

A few functorial properties of the $K K^{G}$-groups will be needed below, so we just mention a few of them. First of all, if $H$ is a closed subgroup of $G$, then any $G-C^{*}$-algebra is by restriction also an $H-C^{*}$-algebra, and we have restriction maps $K K^{G}(A, B) \rightarrow K K^{H}(A, B)$. To go the other way, we can "induce" an $H$ - $C^{*}$-algebra $A$ to get a $G-C^{*}$-algebra $\operatorname{Ind}_{H}^{G}(A)$, defined by

$$
\begin{array}{r}
\operatorname{Ind}_{H}^{G}(A)=\{f \in C(G, A) \mid f(g h)=h \cdot f(g) \quad \forall g \in G, h \in H \\
\|f(g)\| \rightarrow 0 \text { as } g \rightarrow \infty \bmod H\} .
\end{array}
$$

The induced action of $G$ on $\operatorname{Ind}_{H}^{G}(A)$ is just left translation. An imprimitivity theorem due to Green shows that $\operatorname{Ind}_{H}^{G}(A) \rtimes G$ and $A \rtimes H$ are Morita equivalent. If $A$ and $B$ are $H-C^{*}$-algebras, we then have an induction homomorphism

$$
K K^{H}(A, B) \rightarrow K K^{G}\left(\operatorname{Ind}_{H}^{G}(A), \operatorname{Ind}_{H}^{G}(B)\right)
$$

## Basic properties of crossed products

If $A$ is a $G-C^{*}$-algebra, one can define two new $C^{*}$-algebras, called the full and reduced crossed products of $A$ by $G$, which capture the essence of the group action. These are easiest to define when $G$ is discrete and $A$ is unital. The full crossed product $A \rtimes_{\alpha} G$ (we often omit the $\alpha$ if there is no possibility of confusion) is the universal $C^{*}$-algebra generated by a copy of $A$ and unitaries $u_{g}$, $g \in G$, subject to the commutation condition $u_{g} a u_{g}^{*}=\alpha_{g}(a)$, where $\alpha$ denotes the action of $G$ on $A$. The reduced crossed product $A \rtimes_{\alpha, r} G$ is the image of $A \rtimes_{\alpha} G$ in its "regular representation" $\pi$ on $L^{2}(G, \mathcal{H})$, where $\mathcal{H}$ is a Hilbert space on which $A$ acts faithfully, say by a representation $\rho$. Here $A$ acts by $(\pi(a) f)(g)=\rho\left(\alpha_{g^{-1}}(a)\right) f(g)$ and $G$ acts by left translation.

## More general crossed products

In general, the full crossed product is defined as the universal $C^{*}$-algebra for covariant pairs of a $*$-representation $\rho$ of $A$ and a unitary representation $\pi$ of $G$, satisfying the compatibility condition $\pi(g) \rho(a) \pi\left(g^{-1}\right)=\rho\left(\alpha_{g}(a)\right)$. It may be constructed by defining a convolution multiplication on $C_{c}(G, A)$ and then completing in the greatest $C^{*}$-algebra norm. The reduced crossed product $A \rtimes_{\alpha, r} G$ is again the image of $A \rtimes_{\alpha} G$ in its "regular representation" on $L^{2}(G, \mathcal{H})$.

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## More about crossed products

When $A$ and the action $\alpha$ are arbitrary, the natural map
$A \rtimes_{\alpha} G \rightarrow A \rtimes_{\alpha, r} G$ is an isomorphism if $G$ is amenable, but also more generally if the action $\alpha$ is amenable in a certain sense. For example, if $X$ is a locally compact $G$-space, the action is automatically amenable if it is proper, whether or not $G$ is amenable.

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When $X$ is a locally compact $G$-space, the crossed product $C_{0}(G) \rtimes G$ often serves as a good substitute for the "quotient space" $X / G$ in cases where the latter is badly behaved. Indeed, if $G$ acts freely and properly on $X$, then $C_{0}(X) \rtimes G$ is Morita equivalent to $C_{0}(X / G)$. But if the $G$-action is not proper, $X / G$ may be highly non-Hausdorff, while $C_{0}(X) \rtimes G$ may be a perfectly well-behaved noncommutative algebra. A key case later on will the one where $X=\mathbb{T}$ is the circle group, $G=\mathbb{Z}$, and the generator of $G$ acts by multiplication by $e^{2 \pi i \theta}$. When $\theta$ is irrational, every orbit is dense, so $X / G$ is an indiscrete space, and $C(\mathbb{T}) \rtimes \mathbb{Z}$ is what's usually denoted $A_{\theta}$, an irrational rotation algebra or noncommutative 2-torus.

## $K K^{G}$ and crossed products

Now we can explain the relationships between equivariant $K K$-theory and crossed products. One connection is that if $G$ is discrete and $A$ is a $G-C^{*}$-algebra, there is a natural isomorphism $K K^{G}(A, \mathbb{C}) \cong K K(A \rtimes G, \mathbb{C})$. Dually, if $G$ is compact, there is a natural Green-Julg isomorphism $K K^{G}(\mathbb{C}, A) \cong K K(\mathbb{C}, A \rtimes G)$.

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Still another connection is that there are (for arbitrary $G$ ) functorial homomorphisms
$j, j_{r}: K K^{G}(A, B) \rightarrow K K(A \rtimes G, B \rtimes G), K K\left(A \rtimes_{r} G, B \rtimes_{r} G\right)$ (resp.), sending (when $B=A$ ) $1_{A}$ to $1_{A \rtimes G}$. (In fact, $j, j_{r}$ can be viewed as functors from the equivariant Kasparov category $\mathbf{K K}^{G}$ to the non-equivariant Kasparov category KK. Later we will study how close they are to being faithful.) If $B=\mathbb{C}$ and $G$ is discrete, then $j: K K^{G}(A, \mathbb{C}) \rightarrow K K\left(A \rtimes G, C^{*}(G)\right)$ is split injective, and if $G$ is compact, then $j: K K^{G}(\mathbb{C}, A) \rightarrow K K\left(C^{*}(G), A \rtimes G\right)$ is split injective.

## The dual action and Takai duality

When the group $G$ is not just locally compact but also abelian, then it has a Pontrjagin dual group $\widehat{G}$. In this case, given any $G-C^{*}$-algebra algebra $A$, say with $\alpha$ denoting the action of $G$ on $A$, there is a dual action $\widehat{\alpha}$ of $\widehat{G}$ on the crossed product $A \rtimes G$. When $A$ is unital and $G$ is discrete, so that $A \rtimes G$ is generated by a copy of $A$ and unitaries $u_{g}, g \in G$, the dual action is given simply by

$$
\widehat{\alpha}_{\gamma}\left(a u_{g}\right)=a u_{g}\langle g, \gamma\rangle
$$

The same formula still applies in general, except that the elements $a$ and $u_{g}$ don't quite live in the crossed product but in a larger algebra. The key fact about the dual action is the Takai duality theorem: $\left(A \rtimes_{\alpha} G\right) \rtimes_{\widehat{\alpha}} \widehat{G} \cong A \otimes \mathcal{K}\left(L^{2}(G)\right)$, and the double dual action $\hat{\hat{\alpha}}$ of $\tilde{\tilde{G}} \cong G$ on this algebra can be identified with $\alpha \otimes \operatorname{Ad} \lambda$, where $\lambda$ is the left regular representation of $G$ on $L^{2}(G)$.

## Connes' "Thom isomorphism"

If $\mathbb{C}^{n}\left(\right.$ or $\left.\mathbb{R}^{2 n}\right)$ acts on $X$ by a trivial action $\alpha$, then $C_{0}(X) \rtimes_{\alpha} \mathbb{C}^{n} \cong C_{0}(X) \otimes C^{*}\left(\mathbb{C}^{n}\right) \cong C_{0}(X) \otimes C_{0}\left(\widehat{\mathbb{C}}^{n}\right) \cong C_{0}(E)$, where $E$ is a trivial rank- $n$ complex vector bundle over $X$. (We have used Pontrjagin duality and the fact that abelian groups are amenable.) It follows that $K\left(C_{0}(X)\right) \cong K\left(C_{0}(X) \rtimes_{\alpha} \mathbb{C}^{n}\right)$. Since any action $\alpha$ of $\mathbb{C}^{n}$ is homotopic to the trivial action and " $K$-theory is supposed to be homotopy invariant," that suggests that perhaps $K K(A) \cong K K\left(A \rtimes_{\alpha} \mathbb{C}^{n}\right)$ for any $C^{*}$-algebra $A$ and for any action $\alpha$ of $\mathbb{C}^{n}$. This is indeed true and the isomorphism is implemented by classes (which are inverse to one another) in $K K\left(A, A \rtimes_{\alpha} \mathbb{C}^{n}\right)$ and $K K\left(A \rtimes_{\alpha} \mathbb{C}^{n}, A\right)$. It is clearly enough to prove this in the case $n=1$, since we can always break a crossed product by $\mathbb{C}^{n}$ up as an $n$-fold iterated crossed product.

## Connes' Theorem

That $A$ and $A \rtimes_{\alpha} \mathbb{C}$ are always $K K$-equivalent or that they at least have the same $K$-theory, or (this is equivalent since one can always suspend on both sides) that $A \otimes C_{0}(\mathbb{R})$ and $A \rtimes_{\alpha} \mathbb{R}$ are always $K K$-equivalent or that they at least have the same $K$-theory for any action of $\mathbb{R}$, is called Connes' "Thom isomorphism". Connes' original proof is relatively elementary, but only gives an isomorphism of K-groups, not a $K K$-equivalence.

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To illustrate Connes' idea, let's suppose $A$ is unital and we have a class in $K_{0}(A)$ represented by a projection $p \in A$. (One can always reduce to this special case.) If $\alpha$ were to fix $p$, then $1 \mapsto p$ gives an equivariant map from $\mathbb{C}$ to $A$ and thus would induce a map of crossed products $\mathbb{C} \rtimes \mathbb{R} \cong C_{0}(\widehat{\mathbb{R}}) \rightarrow A \rtimes_{\alpha} \mathbb{R}$ or $\mathbb{C} \rtimes \mathbb{C} \cong C_{0}(\widehat{\mathbb{C}}) \rightarrow A \rtimes_{\alpha} \mathbb{C}$ giving a map on $K$-theory $\beta: \mathbb{Z} \rightarrow K_{0}(A \rtimes \mathbb{C})$. The image of $[p]$ under the isomorphism $K_{0}(A) \rightarrow K_{0}(A \rtimes \mathbb{C})$ will be $\beta(1)$. So the idea is to show that one can modify the action to one fixing $p$ (using a cocycle conjugacy) without changing the isomorphism class of the crossed product.

## Proofs of Connes' Theorem

There are now quite a number of proofs of Connes' theorem available, each using somewhat different techniques. We just mention a few of them. A proof using K-theory of Wiener-Hopf extensions was given by Rieffel. There are also fancier proofs using $K K$-theory. If $\alpha$ is a given action of $\mathbb{R}$ on $A$ and if $\beta$ is the trivial action, one can try to construct $K K^{\mathbb{R}}$ elements $c \in K K^{\mathbb{R}}((A, \alpha),(A, \beta))$ and $d \in K K^{\mathbb{R}}((A, \beta),(A, \alpha))$ which are inverses of each other in $\mathbf{K} \mathbf{K}^{\mathbb{R}}$. Then the morphism $j$ of Section 1 sends these to $K K$-equivalences $j(c)$ and $j(d)$ between $A \rtimes_{\alpha} \mathbb{R}$ and $A \rtimes_{\beta} \mathbb{R} \cong A \otimes C_{0}(\mathbb{R})$.

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Fack and Skandalis give another proof using the group $K K^{1}(A, B)$. This is defined with triples $(\mathcal{H}, \phi, T)$ like those used for $\operatorname{KK}(A, B)$, but with two modifications.

## The proof of Fack and Skandalis

Conditions for $K K^{1}$ :
(1) $\mathcal{H}$ is no longer graded, and there is no grading condition on $\phi$.
(2) $T$ is self-adjoint but with no grading condition, and $\phi(a)\left(T^{2}-1\right) \in \mathcal{K}(\mathcal{H})$ and $[\phi(a), T] \in \mathcal{K}(\mathcal{H})$ for all $a \in A$.
It turns out that $K K^{1}(A, B) \cong K K\left(A \otimes C_{0}(\mathbb{R}), B\right)$, and that the Kasparov product can be extended to a graded commutative product on the direct sum of $K K=K K^{0}$ and $K K^{1}$. The product of two classes in $K K^{1}$ can by Bott periodicity be taken to land in $K K^{0}$.

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We can now explain the proof of Fack and Skandalis as follows. They show that for each separable $C^{*}$-algebra $A$ with an action $\alpha$ of $\mathbb{R}$, there is a special element $t_{\alpha} \in K K^{1}\left(A, A \rtimes_{\alpha} \mathbb{R}\right)$ (constructed using a singular integral operator). Note by the way that doing the construction with the dual action and applying Takai duality gives $t_{\widehat{\alpha}} \in K K^{1}\left(A \rtimes_{\alpha} \mathbb{R}, A\right)$, since $\left(A \rtimes_{\alpha} \mathbb{R}\right) \rtimes_{\widehat{\alpha}} \mathbb{R} \cong A \otimes \mathcal{K}$, which is Morita equivalent to $A$.

## The elements $t_{\alpha}$

These elements have the following properties:
(1) (Normalization) If $A=\mathbb{C}$ (so that necessarily $\alpha=1$ is trivial), then $t_{1} \in K K^{1}\left(\mathbb{C}, C_{0}(\mathbb{R})\right)$ is the usual generator of this group (which is isomorphic to $\mathbb{Z}$ ).
(2) (Naturality) The elements are natural with respect to equivariant homomorphisms $\rho:(A, \alpha) \rightarrow(C, \gamma)$, in that if $\bar{\rho}$ denotes the induced map on crossed products, then $\bar{\rho}_{*}\left(t_{\alpha}\right)=\rho^{*}\left(t_{\gamma}\right) \in K K\left(A, C \rtimes_{\gamma} \mathbb{R}\right)$, and similarly, $\bar{\rho}^{*}\left(t_{\hat{\gamma}}\right)=\rho_{*}\left(t_{\widehat{\alpha}}\right) \in K K\left(A \rtimes_{\alpha} \mathbb{R}, C\right)$.
(3) (Compatibility with external products) Given $x \in K K(A, B)$ and $y \in K K(C, D)$,

$$
\left(t_{\widehat{\alpha}} \otimes_{A} x\right) \boxtimes y=t_{\widehat{\alpha \otimes 1_{C}}} \otimes_{A \otimes C}(x \boxtimes y) .
$$

Similarly, given $x \in K K(B, A)$ and $y \in K K(D, C)$,

$$
y \boxtimes\left(x \otimes_{A} t_{\alpha}\right)=(y \boxtimes x) \otimes_{C \otimes A} t_{1 c c \otimes \alpha}
$$

## Idea of the proof of Fack-Skandalis

## Theorem (Fack-Skandalis)

These properties completely determine $t_{\alpha}$, and $t_{\alpha}$ is a KK-equivalence (of degree 1 ) between $A$ and $A \rtimes_{\alpha} \mathbb{R}$.

## The Pimsner-Voiculescu Theorem

Now suppose $A$ is a $C^{*}$-algebra equipped with an action $\alpha$ of $\mathbb{Z}$ (or equivalently, a single $*$-automorphism $\theta$, the image of $1 \in \mathbb{Z}$ under the action). Then $A \rtimes_{\alpha} \mathbb{Z}$ is Morita equivalent to $\left(\operatorname{Ind}_{\mathbb{Z}}^{\mathbb{R}}(A, \alpha)\right) \rtimes \mathbb{R}$. The algebra $T_{\theta}=\operatorname{Ind}_{\mathbb{Z}}^{\mathbb{R}}(A, \alpha)$ is often called the mapping torus of $(A, \theta)$; it can be identified with the algebra of continuous functions $f:[0,1] \rightarrow A$ with $f(1)=\theta(f(0))$. It comes with an obvious short exact sequence

$$
0 \rightarrow C_{0}((0,1), A) \rightarrow T_{\theta} \rightarrow A \rightarrow 0
$$

for which the associated exact sequence in $K$-theory has the form

$$
\cdots \rightarrow K_{1}(A) \xrightarrow{1-\theta_{*}} K_{1}(A) \rightarrow K_{0}\left(T_{\theta}\right) \rightarrow K_{0}(A) \xrightarrow{1-\theta_{*}} K_{0}(A) \rightarrow \cdots .
$$

Since $K_{0}\left(A \rtimes_{\alpha} \mathbb{Z}\right) \cong K_{0}\left(T_{\theta} \rtimes_{\operatorname{Ind} \alpha} \mathbb{R}\right) \cong K_{1}\left(T_{\theta}\right)$, and similarly for $K_{0}$, we obtain the Pimsner-Voiculescu exact sequence

$$
\begin{align*}
\cdots & \rightarrow K_{1}(A) \xrightarrow{1-\theta_{*}} K_{1}(A) \xrightarrow{\iota_{*}} K_{1}\left(A \rtimes_{\alpha} \mathbb{Z}\right) \rightarrow \\
& \rightarrow K_{0}(A) \xrightarrow{1-\theta_{*}} K_{0}(A) \xrightarrow{\iota_{*}} K_{0}\left(A \rtimes_{\alpha} \mathbb{Z}\right) \rightarrow \cdots . \tag{2}
\end{align*}
$$

## The Baum-Connes Conjecture (without coefficients)

Let $G$ be a locally compact group, and let $\underline{E} G$ be the universal proper $G$-space. (This is a contractible space on which $G$ acts properly, characterized up to $G$-homotopy equivalence by two properties: that every compact subgroup of $G$ has a fixed point in $\underline{E} G$, and that the two projections $\underline{E} G \times \underline{E} G \rightarrow \underline{E} G$ are $G$-homotopic. If $G$ has no compact subgroups, then $\underline{E} G$ is the usual universal free $G$-space $E G$.)

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## Conjecture (Baum-Connes)

Let $G$ be a locally compact group, second-countable for convenience. There is an assembly map

$$
\begin{aligned}
& \lim _{\rightarrow} K_{*}^{G}(X) \rightarrow K_{*}\left(C_{r}^{*}(G)\right) \\
& x \subseteq E G \\
& X / G \text { compact }
\end{aligned}
$$

defined by taking G-indices of G-invariant elliptic operators, and this map is an isomorphism.

## The Baum-Connes Conjecture with coefficients

## Conjecture (Baum-Connes with coefficients)

With notation as in the previous Conjecture, if $A$ is any separable G-C*-algebra, the assembly map

$$
\lim _{\substack{x \xrightarrow{\triangle} G \\ x / G \text { compact }}} K K_{*}^{G}\left(C_{0}(X), A\right) \rightarrow K_{*}\left(A \rtimes_{r} G\right)
$$

is an isomorphism.

## Special cases

If $G$ is compact, $\underline{E} G$ can be taken to be a single point. The conjecture then asserts that the assembly map $K K_{*}^{G}(\mathrm{pt}, A) \rightarrow K_{*}(A \rtimes G)$ is an isomorphism. This is true by the the Green-Julg theorem.

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If $G=\mathbb{R}$, we can take $\underline{E} G=G=\mathbb{R}$. If $A$ is an $\mathbb{R}-C^{*}$-algebra, the assembly map is a map $K K_{*}^{\mathbb{R}}\left(C_{0}(\mathbb{R}), A\right) \rightarrow K_{*}(A \rtimes \mathbb{R})$. This map turns out to be Kasparov's morphism
$j: K K_{*}^{\mathbb{R}}\left(C_{0}(\mathbb{R}), A\right) \rightarrow K K_{*}\left(C_{0}(\mathbb{R}) \rtimes \mathbb{R}, A \rtimes \mathbb{R}\right)=K K_{*}(\mathcal{K}, A \rtimes \mathbb{R}) \cong K_{*}(A \rtimes \mathbb{R})$, which is the isomorphism of Connes' Theorem.

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which is the isomorphism of Connes' Theorem.
Now suppose $G$ is discrete and torsion-free. Then $\underline{E} G=E G$, and the quotient space $\underline{E} G / G$ is the usual classifying space $B G$. The assembly $\operatorname{map} K_{*}^{\mathrm{cmpct}}(B G) \rightarrow K_{*}\left(C_{r}^{*}(G)\right)$ can be viewed as an index map, since classes in the $K$-homology group on the left are represented by generalized Dirac operators $D$ over Spin ${ }^{c}$ manifolds $M$ with a $G$-covering, and the assembly map takes such an operator to its "Mishchenko-Fomenko index". The conjecture (without coefficients) implies a strong form of the Novikov Conjecture for $G$.

## The approach of Meyer and Nest

Meyer and Nest gave an alternative approach. They observe that the equivariant $K K$-category, $\mathbf{K K}^{G}$, is a triangulated category. It has a distinguished class $\mathcal{E}$ of weak equivalences, morphisms $f \in K K^{G}(A, B)$ which restrict to equivalences in $K K^{H}(A, B)$ for every compact subgroup $H$ of $G$. The Baum-Connes Conjecture with coefficients basically amounts to the assertion that if $f \in K^{G}(A, B)$ is in $\mathcal{E}$, then $j_{r}(f) \in K K\left(A \rtimes_{r} G, B \rtimes_{r} G\right)$ is a $K K$-equivalence. In particular, suppose $G$ has no nontrivial compact subgroups and satisfies $\mathrm{B}-\mathrm{C}$ with coefficients. Then if $A$ is a $G-C^{*}$-algebra which, forgetting the $G$-action, is contractible, then the unique morphism in $\operatorname{KK}^{G}(0, A)$ is a weak equivalence, and so (applying $j_{r}$ ), the unique morphism in $K K\left(0, A \rtimes_{r} G\right)$ is a $K K$-equivalence. Thus $A \rtimes_{r} G$ is $K$-contractible, i.e., all of its topological $K$-groups must vanish. When $G=\mathbb{R}$, this follows from Connes' Theorem, and when $G=\mathbb{Z}$, this follows from the Pimsner-Voiculescu exact sequence.

## Current status of Baum-Connes

(1) There is no known counterexample to Baum-Connes for groups, without coefficients. Counterexamples are now known to Baum-Connes with coefficients
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(9) There is a vast literature; this is just for starters.

## Part III

## The universal coefficient theorem for $K K$ and some of its applications

## Introduction to the UCT

Now that we have discussed $K K$ and $K K^{G}$, a natural question arises: how computable are they? In particular, is $K K(A, B)$ determined by $K_{*}(A)$ and by $K_{*}(B)$ ? Is $K K^{G}(A, B)$ determined by $K_{*}^{G}(A)$ and by $K_{*}^{G}(B)$ ?

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Let's make a definition - we say the pair of $C^{*}$-algebras $(A, B)$ satisfies the Universal Coefficient Theorem for KK (or UCT for short) if there is an exact sequence

$$
\begin{aligned}
0 \rightarrow \bigoplus_{* \in \mathbb{Z} / 2} \operatorname{Ext}_{\mathbb{Z}}^{1}\left(K_{*}(A), K_{*+1}(B)\right) & \rightarrow K K(A, B) \\
& \xrightarrow{\varphi} \bigoplus_{* \in \mathbb{Z} / 2} \operatorname{Hom}_{\mathbb{Z}}\left(K_{*}(A), K_{*}(B)\right) \rightarrow 0 .
\end{aligned}
$$

Here $\varphi$ sends a $K K$-class to the induced map on $K$-groups.

## The UCT

We need one more definition. Let $\mathcal{B}$ be the bootstrap category, the smallest full subcategory of the separable $C^{*}$-algebras containing all separable type I algebras, and closed under extensions, countable $C^{*}$-inductive limits, and $K K$-equivalences. Note that $K K$-equivalences include Morita equivalences, and type I algebras include commutative algebras.

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Unsolved problem: Is every separable nuclear $C^{*}$-algebra in $\mathcal{B}$ ? Skandalis showed that there are non-nuclear algebras not in $\mathcal{B}$.

## The proof of Rosenberg and Schochet

First suppose $K_{*}(B)$ is injective as a $\mathbb{Z}$-module, i.e., divisible as an abelian group. Then $\operatorname{Hom}_{\mathbb{Z}}\left(\ldots, K_{*}(B)\right)$ is an exact functor, so $A \mapsto \operatorname{Hom}_{\mathbb{Z}}\left(K_{*}(A), K_{*}(B)\right)$ gives a cohomology theory on $C^{*}$-algebras. In particular, $\varphi$ is a natural transformation of homology theories

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\left(X \mapsto K K_{*}\left(C_{0}(X), B\right)\right) \rightsquigarrow\left(X \mapsto \operatorname{Hom}_{\mathbb{Z}}\left(K^{*}(X), K_{*}(B)\right)\right) .
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Since $\varphi$ is an isomorphism for $X=\mathbb{R}^{n}$ by Bott periodicity, it is an isomorphism whenever $X_{+}$is a finite CW complex.

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We extend to arbitrary locally compact $X$ by taking limits, and then to the rest of $\mathcal{B}$. (Type $I C^{*}$-algebras are colimits of iterated extensions of stably commutative algebras.) So the theorem holds when $K_{*}(B)$ is injective.

## Geometric resolutions

The rest of the proof uses an idea due to Atiyah, of geometric resolutions. The idea is that given arbitrary $B$, we can change it up to $K K$-equivalence so that it fits into a short exact sequence

$$
0 \rightarrow C \rightarrow B \rightarrow D \rightarrow 0
$$

for which the induced $K$-theory sequence is short exact: $K_{*}(B) \mapsto K_{*}(D) \rightarrow K_{*-1}(C)$ and $K_{*}(D), K_{*}(C)$ are $\mathbb{Z}$-injective. Then we use the theorem for $K K_{*}(A, D)$ and $K K_{*}(A, C)$, along with the long exact sequence in $K K$ in the second variable, to get the UCT for $(A, B)$.

## The equivariant case

If one asks about the UCT in the equivariant case, then the homological algebra of the ground ring $R(G)$ becomes relevant. This is not always well behaved, so as noticed by Hodgkin, one needs restrictions on $G$ to get anywhere. But for $G$ a connected compact Lie group with $\pi_{1}(G)$ torsion-free, $R(G)$ has finite global dimension.

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## Theorem (Rosenberg-Schochet)

If $G$ is a connected compact Lie group with $\pi_{1}(G)$ torsion-free, and if $A$, $B$ are separable $G-C^{*}$-algebras with $A$ in a suitable bootstrap category containing all commutative G-C*-algebras, then there is a convergent spectral sequence

$$
\operatorname{Ext}_{R(G)}^{p}\left(K_{*}^{G}(A), K_{q+*}^{G}(A)\right) \Rightarrow K K_{*}^{G}(A, B)
$$

The proof is more complicated than in the non-equivariant case, but in the same spirit.

## Categorical aspects

The UCT implies a lot of interesting facts about the bootstrap category $\mathcal{B}$. Here are a few examples.

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Let $A, B$ be $C^{*}$-algebras in $\mathcal{B}$. Then $A$ and $B$ are $K K$-equivalent if and only if they have the isomorphic topological K-groups.

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## Proof.

$\Rightarrow$ is trivial. So suppose $K_{*}(A) \cong K_{*}(B)$. Choose an isomorphism $\psi: K_{*}(A) \rightarrow K_{*}(B)$. Since the map $\varphi$ in the UCT is surjective, $\psi$ is realized by a class $x \in K K(A, B)$.

## The KK-equivalence theorem (cont'd)

## Proof (cont'd).

Now consider the commutative diagram with exact rows

$$
\begin{array}{cc}
0 \rightarrow \operatorname{Ext}^{1}\left(K_{*+1}(B), K_{*}(A)\right) \rightarrow K K_{*}(B, A) \xrightarrow{\varphi} \operatorname{Hom}\left(K_{*}(B), K_{*}(A)\right) \rightarrow 0 \\
\cong \downarrow \psi^{*} & \downarrow x \otimes_{B_{-}} \quad \cong \\
\| \downarrow \psi^{*} \\
0 \rightarrow \operatorname{Ext}^{1}\left(K_{*+1}(A), K_{*}(A)\right) \longrightarrow K K_{*}(A, A) \xrightarrow{\varphi} \operatorname{Hom}\left(K_{*}(A), K_{*}(A)\right) \rightarrow 0
\end{array}
$$

By the 5-Lemma, Kasparov product with $x$ is an isomorphism $K K_{*}(B, A) \rightarrow K K_{*}(A, A)$. In particular, there exists $y \in K K(B, A)$ with $x \otimes_{B} y=1_{A}$. Similarly, there exists $z \in K K(B, A)$ with $z \otimes_{A} x=1_{B}$. Then by associativity

$$
z=z \otimes_{A}\left(x \otimes_{B} y\right)=\left(z \otimes_{A} x\right) \otimes_{B} y=y
$$

and we have a $K K$-inverse to $x$.

## The $K K$ ring

Recall that $K K(A, A)=\operatorname{End}_{\mathbf{K K}}(A)$ is a ring under Kasparov product.

## Theorem (Rosenberg-Schochet)

Suppose $A$ is in $\mathcal{B}$. In the UCT sequence
$0 \rightarrow \bigoplus_{i \in \mathbb{Z} / 2} \operatorname{Ext}_{\mathbb{Z}}^{1}\left(K_{i+1}(A), K_{i}(A)\right) \rightarrow K K(A, A) \xrightarrow{\varphi} \oplus_{i \in \mathbb{Z} / 2} \operatorname{End}\left(K_{i}(A)\right) \rightarrow 0$,
$\varphi$ is a split surjective homomorphism of rings, and $J=\operatorname{ker} \varphi$ (the Ext term) is an ideal with $J^{2}=0$.

## Proof.

Choose $A_{0}$ and $A_{1}$ commutative with $K_{0}\left(A_{0}\right) \cong K_{0}(A)$, $K_{1}\left(A_{0}\right)=0, K_{0}\left(A_{1}\right)=0, K_{1}\left(A_{1}\right) \cong K_{1}(A)$. Then by the last theorem, $A_{0} \oplus A_{1}$ is $K K$-equivalent to $A$, and we may assume $A=A_{0} \oplus A_{1}$. By the UCT, $K K\left(A_{0}, A_{0}\right) \cong$ End $K_{0}(A)$ and $K K\left(A_{1}, A_{1}\right) \cong$ End $K_{1}(A)$.

## The KK-ring (cont'd)

## Proof.

So $K K\left(A_{0}, A_{0}\right) \oplus K K\left(A_{1}, A_{1}\right)$ is a subring of $K K(A, A)$ mapping isomorphically under $\varphi$. This shows $\varphi$ is split surjective. We also have $J=K K\left(A_{0}, A_{1}\right) \oplus K K\left(A_{1}, A_{0}\right)$. If, say, $x$ lies in the first summand and $y$ in the second, then $x \otimes_{A_{1}} y$ induces the 0 -map on $K_{0}(A)$ and so is 0 in $K K\left(A_{0}, A_{0}\right)$. Similarly, $y \otimes_{A_{0}} x$ induces the 0 -map on $K_{1}(A)$ and so is 0 in $K K\left(A_{1}, A_{1}\right)$.

## The homotopy-theoretic approach

There is a homotopy-theoretic approach to the UCT that topologists might find attractive; it seems to have been discovered independently by several people. Let $A$ and $B$ be $C^{*}$-algebras and let $\mathbb{K}(A)$ and $\mathbb{K}(B)$ be their topological $K$-theory spectra. These are module spectra over $\mathbb{K}=\mathbb{K}(\mathbb{C})$, the usual spectrum of complex K-theory. Then we can define

$$
K K^{\text {top }}(A, B)=\pi_{0}\left(\operatorname{Hom}_{\mathbb{K}}(\mathbb{K}(A), \mathbb{K}(B))\right)
$$

## Theorem

There is a natural map $K K(A, B) \rightarrow K K^{\text {top }}(A, B)$, and it's an isomorphism if and only if the UCT holds for the pair $(A, B)$.

Observe that $K K^{\text {top }}(A, B)$ even makes sense for Banach algebras, and always comes with a UCT.

## An application of $K K^{\text {top }}$

We promised in the first lecture to show that defining $K K(X, Y)$ to be the set of natural transformations

$$
(Z \mapsto K(X \times Z)) \rightsquigarrow(Z \mapsto K(Y \times Z))
$$

indeed agrees with Kasparov's $K K\left(C_{0}(X), C_{0}(Y)\right)$. Indeed, $Z \mapsto K(X \times Z)$ is basically the cohomology theory defined by $\mathbb{K}(X)$, and $Z \mapsto K(Y \times Z)$ is similarly the cohomology theory defined by $\mathbb{K}(Y)$. So the natural transformations (commuting with Bott periodicity) are basically a model for $K^{\text {top }}\left(C_{0}(X), C_{0}(Y)\right)$.

## Topological applications

The UCT can be used to prove facts about topological K-theory which on their face have nothing to do with $C^{*}$-algebras or $K K$. For example, we have the following purely topological fact:

## Theorem

Let $X$ and $Y$ be locally compact spaces such that $K^{*}(X) \cong K^{*}(Y)$ just as abelian groups. Then the associated K-theory spectra $\mathbb{K}(X)$ and $\mathbb{K}(Y)$ are homotopy equivalent.

## Proof.

We have seen that the hypothesis implies $C_{0}(X)$ and $C_{0}(Y)$ are $K K$-equivalent, which gives the desired conclusion.

Note that this theorem is quite special to complex K-theory; it fails even for ordinary cohomology (since one needs to consider the action of the Steenrod algebra).

## Applications to cohomology operations

Similarly, the UCT implies facts about cohomology operations in complex $K$-theory and $K$-theory mod $p$. For example, one has:

## Theorem (Rosenberg-Schochet)

The $\mathbb{Z} / 2$-graded ring of homology operations for $K(\ldots ; \mathbb{Z} / n)$ on the category of separable $C^{*}$-algebras is the exterior algebra over $\mathbb{Z} / n$ on a single generator, the Bockstein $\beta$.

## Theorem (Araki-Toda, new proof by Rosenberg-Schochet)

There are exactly $n$ admissible multiplications on K-theory mod $n$. When $n$ is odd, exactly one is commutative. When $n=2$, neither is commutative.

## Applications to $C^{*}$-algebras

Probably the most interesting applications of the UCT for $K K$ are to the classification problem for nuclear $C^{*}$-algebras. The Elliott program (to quote M. Rørdam) is to classify "all separable, nuclear $C^{*}$-algebras in terms of an invariant that has $K$-theory as an important ingredient." Kirchberg and Phillips have shown how to do this for Kirchberg algebras, that is simple, purely infinite, separable and nuclear $C^{*}$-algebras. The UCT for $K K$ is a key ingredient.

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## Theorem (Kirchberg-Phillips)

Two stable Kirchberg algebras $A$ and $B$ are isomorphic if and only if they are KK-equivalent; and moreover every invertible element in $K K(A, B)$ lifts to an isomorphism $A \rightarrow B$. Similarly in the unital case if one keeps track of $\left[1_{A}\right] \in K_{0}(A)$.

## More on Kirchberg-Phillips

We will not attempt to explain the proof of Kirchberg-Phillips, but it's based on the idea that a $K K$-class is given by a quasihomomorphism, which under the specific hypotheses can be lifted to a true homomorphism.

## More on Kirchberg-Phillips

We will not attempt to explain the proof of Kirchberg-Phillips, but it's based on the idea that a $K K$-class is given by a quasihomomorphism, which under the specific hypotheses can be lifted to a true homomorphism. Given the Kirchberg-Phillips result, one is still left with the question of determining when two Kirchberg algebras are KK-equivalent. But those of "Cuntz type" (like $\mathcal{O}_{n}$ ) lie in $\mathcal{B}$, and Kirchberg and Phillips show that $\forall$ abelian groups $G_{0}$ and $G_{1}$ and $\forall g \in G_{0}$, there is a nonunital Kirchberg algebra $A \in \mathcal{B}$ with these $K$-groups, and there is a unital Kirchberg algebra $A \in \mathcal{B}$ with these $K$-groups and with $\left[1_{A}\right]=g$. By the UCT, these algebras are classified by their $K$-groups.

## The opposite extreme: stably finite algebras

The original work on the Elliott program dealt with the opposite extreme: stably finite algebras. Here again, $K K$ can play a useful role. Here is a typical result from the vast literature:

## Theorem (Elliott)

If $A$ and $B$ are $C^{*}$-algebras of real rank 0 which are inductive limits of certain "basic building blocks", then any $x \in K K(A, B)$ preserving the "graded dimension range" can be lifted to a *-homomorphism. If $x$ is a KK-equivalence, it can be lifted to an isomorphism.

This theorem applies for example to the irrational rotation algebras $A_{\theta}$.

