

A K -theory perspective
on T-duality in string theory

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joint work with
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(Comm. Math. Phys., to appear)

May 9, 2004

Abstract

An idea which is now well established in the physics literature is that “charges” on “branes” should take values in twisted (topological) K -theory, where the twisting is given by a cohomology class that represents the field strength. It is also expected that “T-duality” should hold, meaning that the theory on one space-time (with background field) is equivalent to that on another, where tori are replaced by their duals. I will describe recent joint work with Mathai Varghese in which we show how to make this rigorous for space-times which are principal torus bundles. A surprising conclusion is that sometimes the T-dual of a torus bundle turns out to involve noncommutative tori.

Some Ideas from Physics

Physics is described by “fields” ϕ (usually sections of vector bundles or connections on vector bundles) living on a manifold (“spacetime”) X . They are subject to equations of motion. In classical physics, the fields should give a critical point for the “action” $S(\phi)$. In quantum physics, the theory is described by “path integrals” like the **partition function**

$$Z(\beta) = \int e^{-S(\phi;\beta)} d\phi,$$

obtained by integrating over all states, with the classical solutions (minima of S) contributing most heavily. Here β is the inverse temperature.

The Idea of T-Duality

It has been noticed that many quantum mechanical systems, especially in supersymmetric string theory, come with a symmetry known as **T-duality**. This means that a theory living on a torus is formally equivalent to one living on the **dual** torus.

The simplest example is a free particle on a torus \mathbb{R}^n/Λ , where Λ is a lattice in \mathbb{R}^n . The partition function turns out to be the **classical theta function**

$$Z_{\Lambda}(\beta) = \sum_{z \in \hat{\Lambda}} e^{-2\pi^2 \beta |z|^2}$$

with $\hat{\Lambda}$ the dual torus. By Poisson Summation, this is essentially the same as the corresponding function $Z_{\hat{\Lambda}}$ for the dual torus $\hat{\mathbb{R}}^n/\hat{\Lambda}$.

H-Fluxes, K -Theory, and Twistings

In some field theories, there are “background fields” given by cohomology classes. For example, in classical electromagnetism, the field strength of the electromagnetic field defines a class in H^2 . In (type II) string theory, there is a field or H-flux $\delta \in H^3$.

In addition, in some field theories there are “topological charges” living in (topological) K -theory. In string theory, these arise from the charges on D-branes, submanifolds which serve as “boundaries” for “open strings.”

In the presence of a background H-flux given by $\delta \in H^3(X, \mathbb{Z})$, the picture must be twisted and the charges take their values in twisted K -theory $K_\delta^*(X)$. When H is torsion, this was defined by Karoubi and Donovan. In general, it is the K -theory of a stable continuous-trace algebra $CT(X, \delta)$ locally isomorphic to $C_0(X, \mathcal{K})$, \mathcal{K} the compact operators, with twisting given by δ .

Explanation:

Every $*$ -automorphism of \mathcal{K} comes from conjugation by a unitary operator, so $\text{Aut } \mathcal{K} = PU$. This is a $K(\mathbb{Z}, 2)$ -space, so BPU is a $K(\mathbb{Z}, 3)$ -space and a class $\delta \in H^3(X, \mathbb{Z})$ gives rise to a principal PU -bundle over X and an associated \mathcal{K} -bundle of C^* -algebras. $CT(X, \delta)$ is the algebra of sections (vanishing at infinity) of this bundle of algebras, and δ is called the Dixmier-Douady invariant.

One can in fact identify $H^3(X, \mathbb{Z}) = \text{Br } X$ with the Brauer group of continuous-trace algebras over X , just as its torsion group is the Brauer group of Azumaya algebras over X with finite-dimensional fibers.

Basic Setup and the Mathematical Problem

Consider a “spacetime X compactified over a torus T ,” i.e., a locally compact, homotopically finite connected space X equipped with a free action of a torus T . We have a principal T -bundle

$$p: X \rightarrow Z$$

and an H-flux class $\delta \in H^3(X, \mathbb{Z})$. Does this situation have a T-dual, and if so, what is it?

If there is a classical T-dual, we expect to have another principal torus bundle

$$p^\# : X^\# \rightarrow Z$$

and an H-flux class $\delta^\# \in H^3(X^\#, \mathbb{Z})$ such that the fibers of $p^\#$ are “dual” to the fibers of p , and such that there is a K -theory isomorphism

$$K_\delta^*(X) \cong K_{\delta^\#}^*(X^\#)$$

(possibly with a degree shift).

T-Dualizability of Bundles with H-Flux

Let $p: X \rightarrow Z$ be a principal T -bundle as above, with T an n -torus, G its universal cover (a vector group). Also let $\delta \in H^3(X, \mathbb{Z})$. For the pair (X, δ) to be dualizable, we want the T -action on X to be in some sense compatible with δ . A natural hope is for the T -action on X to lift to an action on the principal PU -bundle defined by δ , or equivalently, to an action on $CT(X, \delta)$. Equivariant Morita equivalence classes of such liftings (with varying δ) define classes in the equivariant Brauer group. Unfortunately

$$p^*: \text{Br}(Z) \xrightarrow{\cong} \text{Br}_T(X)$$

and so $\text{Br}_T(X)$ is not that interesting. But $\text{Br}_G(X)$, constructed from local liftings, is quite a rich object.

Theorem 1 *Let*

$$T \xrightarrow{\iota} X \xrightarrow{p} Z$$

be a principal T -bundle as above, with T an n -torus, G its universal cover (a vector group). The following sequence is exact:

$$\mathrm{Br}_G(X) \xrightarrow{F} \mathrm{Br}(X) \cong H^3(X, \mathbb{Z}) \xrightarrow{\iota^*} H^3(T, \mathbb{Z}).$$

Here F is the “forget G -action” map.

In particular, if $n \leq 2$, every stable continuous-trace algebra on X admits a G -action compatible with the T -action on X .

When such a G -action exists, **we will construct a T -dual** by looking at the C^* -algebra crossed product

$$CT(X, \delta) \rtimes G.$$

The desired K -theory isomorphism will come from Connes’ **“Thom isomorphism” theorem**.

Theorem 2 If $n = 1$, so $G = \mathbb{R}$, the forgetful map $F: \text{Br}_G(X) \rightarrow \text{Br}(X)$ is an isomorphism, and thus every $\delta \in H^3(X, \mathbb{Z})$ is dualizable, in fact in a unique way. One has

$$CT(X, \delta) \rtimes \mathbb{R} \cong CT(X^\#, \delta^\#)$$

and

$$K_{\delta^\#}^*(X^\#) \cong K_\delta^{*+1}(X)$$

for a commutative diagram of \mathbb{T} -bundles

$$\begin{array}{ccc}
 & X \times_Z X^\# & \\
 p^*(p^\#) \swarrow & & \searrow (p^\#)^*(p) \\
 X & & X^\# \\
 p \searrow & & \swarrow p^\# \\
 & Z & .
 \end{array} \tag{1}$$

And

$$p_!(\delta) = [p^\#], \quad (p^\#)_!(\delta^\#) = [p], \tag{2}$$

where $p_!$ and $(p^\#)_!$ are the push-forward maps in the Gysin sequences of the two bundles.

Results for $n = 2$

From now on, we stick to the case $n = 2$ for simplicity. H_M^* denotes **cohomology with Borel cochains** in the sense of C. Moore.

Theorem 3 *If $n = 2$, there is a commutative diagram of exact sequences:*

$$\begin{array}{ccccccc}
 & & H^0(Z, \mathbb{Z}) & & 0 & & \\
 & & \downarrow & & \downarrow & & \\
 H^2(X, \mathbb{Z}) & \longrightarrow & H_M^2(G, C(X, \mathbb{T})) & \xrightarrow{\xi} & \ker F & \xrightarrow{\eta} & 0 \\
 & & \downarrow a & & \downarrow & & \\
 & & C(Z, H_M^2(\mathbb{Z}^2, \mathbb{T})) & \xleftarrow{M} & \text{Br}_G(X) & & \\
 & & \downarrow h & & \downarrow F & & \\
 & & H^1(Z, \mathbb{Z}) & \xleftarrow{p!} & H^3(X, \mathbb{Z}) & & \\
 & & \downarrow & & \downarrow & & \\
 & & 0 & & 0 & &
 \end{array}$$

$M: \text{Br}_G(X) \rightarrow C(Z, H_M^2(\mathbb{Z}^2, \mathbb{T})) \cong C(Z, \mathbb{T})$ is the Mackey obstruction map, $h: C(Z, \mathbb{T}) \rightarrow H^1(X, \mathbb{Z})$ sends a continuous function $Z \rightarrow S^1$ to its homotopy class, $F: \text{Br}_G(X) \rightarrow \text{Br}(X)$ is the forgetful map.

Applications to T-duality

Theorem 4 Let $p: X \rightarrow Z$ be a principal \mathbb{T}^2 -bundle as above. Let $\delta \in H^3(X, \mathbb{Z})$ be an “H-flux” on X . Then:

1. If $p_! \delta = 0 \in H^1(Z, \mathbb{Z})$, there is a (*uniquely determined*) *classical T-dual* to (p, δ) , consisting of $p^\# : X^\# \rightarrow Z$, which is another principal \mathbb{T}^2 -bundle over Z , and $\delta^\# \in H^3(X^\#, \mathbb{Z})$, the “T-dual H-flux” on $X^\#$. One has a natural isomorphism

$$K_{\delta^\#}^*(X^\#) \cong K_\delta^*(X).$$

2. If $p_! \delta \neq 0 \in H^1(Z, \mathbb{Z})$, then a classical T-dual as above *does not exist*. However, there is a “*nonclassical*” T-dual bundle of *noncommutative tori* over Z . It is *not unique*, but *the non-uniqueness does not affect its K-theory*, which is naturally $\cong K_\delta^*(X)$.

An example

Let $X = T^3$, $p: X \rightarrow S^1$ the trivial \mathbb{T}^2 -bundle. If $\delta \in H^3(X, \mathbb{Z}) \neq 0$, $p_!(\delta) \neq 0$ in $H^1(S^1)$. By Theorem 4, there is no classical T-dual to (p, δ) . (The problem is that non-triviality of δ would have to give rise to non-triviality of $p^\#$.)

One can realize $CT(X, \delta)$ in this case as follows. Let $\mathcal{H} = L^2(\mathbb{T})$. Define the projective unitary representation $\rho_\theta: \mathbb{Z}^2 \rightarrow PU(\mathcal{H})$ by letting the first \mathbb{Z} factor act by multiplication by z^k , the second \mathbb{Z} factor act by translation by $\theta \in \mathbb{T}$. Then the Mackey obstruction of ρ_θ is $\theta \in \mathbb{T} \cong H^2(\mathbb{Z}^2, \mathbb{T})$. Let \mathbb{Z}^2 act on $C(\mathbb{T}, \mathcal{K}(\mathcal{H}))$ by α , which is given at the point θ by ρ_θ . Define the C^* -algebra

$$\begin{aligned}
 B &= \text{Ind}_{\mathbb{Z}^2}^{\mathbb{R}^2} (C(\mathbb{T}, \mathcal{K}(\mathcal{H})), \alpha) \\
 &= \left\{ f: \mathbb{R}^2 \rightarrow C(\mathbb{T}, \mathcal{K}(\mathcal{H})) : \right. \\
 &\quad \left. f(t + g) = \alpha(g)(f(t)), \quad t \in \mathbb{R}^2, g \in \mathbb{Z}^2 \right\}.
 \end{aligned}$$

Then B is a continuous-trace C^* -algebra having spectrum T^3 , having an action of \mathbb{R}^2 whose induced action on the spectrum of B is the trivial bundle $T^3 \rightarrow T$. The crossed product algebra $B \rtimes \mathbb{R}^2 \cong C(T, \mathcal{K}(\mathcal{H})) \rtimes \mathbb{Z}^2$ has fiber over $\theta \in T$ given by $\mathcal{K}(\mathcal{H}) \rtimes_{\rho_\theta} \mathbb{Z}^2 \cong A_\theta \otimes \mathcal{K}(\mathcal{H})$, where A_θ is the **noncommutative 2-torus**. In fact, the crossed product $B \rtimes \mathbb{R}^2$ is isomorphic to $C^*(H_k) \otimes \mathcal{K}$, where H_k is the integer Heisenberg-type group,

$$H_k = \left\{ \begin{pmatrix} 1 & x & \frac{1}{k}z \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix} : x, y, z \in \mathbb{Z} \right\},$$

a lattice in the usual Heisenberg group $H_{\mathbb{R}}$ (consisting of matrices of the same form, but with $x, y, z \in \mathbb{R}$).

The Dixmier-Douady invariant δ of B is k times a generator of H^3 . We see that **the group C^* -algebra of H_k serves as a non-classical T-dual.**