# **UPGRADE OF THE GSP GYROKINETIC CODE**

#### **MID-YEAR PROGRESS REPORT**

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#### Abstract:

Simulations of turbulent plasma in a strong magnetic field can take advantage of the gyrokinetic approximation, the result of which is a closed set of equations that can be solved numerically. An existing code, GSP, uses a novel and highly efficient solution method to solve the nonlinear 5D gyrokinetic equation. In this project, we will seek to change the velocity-space representation in GSP. This transformation will simplify the inclusion of a new collision operator and make the algorithm more suitable for simulations of turbulence in Tokamak plasmas, while retaining the efficiency and accuracy of the original code.

## **Outline**

- Overview of Gyrokinetics
- Description of GSP
- Changes to Algorithm

#### **Overview**

- GSP is a Particle-In-Cell (PIC) code to solve the Gyrokinetic equation to study the evolution of turbulence in highly magnetized plasma
- Gyrokinetic theory treats the many rapidly circulating charges as charged rings
  - These rings drift parallel and perpendicular to the background magnetic field



#### **Overview**

- The "particles" in the code are not intended to simulate the physical particles, nor the "gyro-averaged" rings of charge.
  - The evolution of many physical charges are described by a statistical distribution function in phase space:  $f(\mathbf{r}, v_{\parallel}, v_{\perp}, \theta)$

$$f \approx F_0 + \delta f + \dots$$

• Performing an asymptotic expansion and gyroaveraging the Fokker-Planck equation, we can get a dynamical equation for  $\delta f$ : the gyrokinetic equation



# **The Gyrokinetic Equation**

$$\frac{\partial \langle \delta f \rangle}{\partial t} + v_{\parallel} \frac{\partial}{\partial z} \langle \delta f \rangle + \mathbf{v}_{D} \cdot \nabla \langle \delta f \rangle = \langle C[\delta f] \rangle - \mathbf{v}_{D} \cdot \nabla F_{0} + v_{\parallel} \langle E_{z} \rangle F_{0}$$

Where:

•  $\langle \delta f \rangle = \langle \delta f \rangle (\mathbf{R}, v_{\perp}, v_{\parallel})$  is the perturbed distribution function to be solved for

- The given background equilibrium distribution is:  $F_0 = n \left(\frac{m}{2\pi kT}\right) e^{\frac{-mv^2}{2kT}}$
- The particle "weight" is  $w \equiv \frac{\langle \partial f \rangle}{F_0}$
- The (characteristic) drift velocity is:  $\mathbf{v}_D = \frac{c}{B_0} \langle \mathbf{E} \rangle \times \hat{\mathbf{z}}$
- $C[\delta f]$  is the collision operator

(angle brackets signify the gyro-averaging operation at constant **R**)

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# **The Gyrokinetic Equation**

$$\frac{\partial \langle \delta f \rangle}{\partial t} + v_{\parallel} \frac{\partial}{\partial z} \langle \delta f \rangle + \mathbf{v}_{D} \cdot \nabla \langle \delta f \rangle = \langle C[\delta f] \rangle - \mathbf{v}_{D} \cdot \nabla F_{0} + v_{\parallel} \langle E_{z} \rangle F_{0}$$

To solve this equation, we identify characteristic trajectories in phase space so that:

- The left hand side becomes  $\frac{d}{dt}\langle \delta f \rangle$
- $v_{\parallel} \hat{\mathbf{z}} + \mathbf{v}_D$  is the characteristic velocity
- Characteristic curves are constant in velocity space only under special conditions:
  - Uniform equilibrium magnetic field  ${\bf B_0}$

• Special choice of velocity coordinates: 
$$\mu \equiv \frac{mv_{\perp}^2}{2B_0}$$
  $\mathcal{E} = \frac{1}{2}m(v_{\perp}^2 + v_{\parallel}^2)$ 

### **GSP Code**

Step 1: Initialize particles in phase space

#### Step 2: Predictor Step:

- Calculate fields at step n
- Calculate marker weights along characteristics for step n+1/2
- Advance marker positions for half a timestep
- Update weights with collision operator for step n+1/2

#### • Step 3: Corrector Step:

- Calculate fields at step *n*+1/2
- Calculate marker weights along characteristics for step n+1
- Advance marker positions for a full timestep
- Update weights with collision operator for step n+1
- Step 4: Output results
- Repeat Steps 2-4 N<sub>T</sub> times

#### **Flowchart**



### **Flowchart**



### **Calculate Fields**

$$\mathbf{v}_D = \frac{c}{B_0} \langle \mathbf{E} \rangle \times \hat{\mathbf{z}}$$

Once we know the electrostatic potential, we know the electric field:

$$\mathbf{E} = -\nabla \phi$$

• The gyro-averaging operation is simplified in Fourier space:

$$\left\langle \widetilde{\mathbf{E}} \right\rangle = J_0 \left( \frac{k_{\perp} v_{\perp}}{\Omega} \right) \widetilde{\mathbf{E}} = -i \mathbf{k} \widetilde{\phi} J_0 \left( \frac{k_{\perp} v_{\perp}}{\Omega} \right)$$

$$(J_0 \text{ is the 0-th order Bessel function})$$
  $\Omega = \frac{eB_0}{mc}$ 

# **Calculate Fields**

- First, deposit charges onto grid in R-space
- Fourier transform grid
- Apply Poisson's equation to calculate the potential:

Issue:

•  $J_0$  is expensive to calculate explicitly every time

- Solution:
  - Discretize  $v_{\perp}$  on a grid in velocity space
  - Store  $J_0$  in a table at the relevant discrete values of  $v_{\perp}$  and  $k_{\perp}$

# **Calculate Fields**

Once potential is calculated, find the gyro-averaged fields in k-space

$$\left\langle \widetilde{\mathbf{E}} \right\rangle_{\mathbf{R}} = -i\mathbf{k}\widetilde{\phi}J_0\left(\frac{k_{\perp}v_{\perp}}{\Omega}\right)$$

- Fourier transform back into grid in R-space
- Interpolate to find fields at marker positions

### **Flowchart**



# **Update Weights and Advance Markers**

• This Monte Carlo scheme [Aydemir,1994] doesn't model  $\langle \delta f \rangle_{\mathbf{R}}$  explicitly, but rather the function:

$$w = \frac{\left< \delta f \right>_{\mathbf{R}}}{F_0}$$

• The equation for *w* along characteristics is:

$$\dot{w} = \frac{q}{T} v_{\parallel} \langle E_z \rangle_{\mathbf{R}} - \frac{\nabla F_0}{F_0} \cdot \mathbf{v}_D$$

• Update marker positions explicitly using  $v_{\parallel} \hat{\mathbf{z}} + \mathbf{v}_D$ 

### **Flowchart**



# **Collision Operator**

- Pitch-angle scattering operator
  - Diffusive in velocity space
  - Energy is conserved with like-particle interactions, or when there's a large disparity in mass (such as ions and electrons)
  - v is a constant parameter
- Collision operator defined in terms of  $h \equiv \langle \delta f \rangle + \langle \phi \rangle F_0$

$$C[h] = \frac{\nu}{2} \frac{\partial}{\partial \xi} \left(1 - \xi^2\right) \frac{\partial h}{\partial \xi} \qquad \qquad \xi = \frac{\nu_{\parallel}}{\nu}$$

# **Collision Operator**

Godunov splitting: We have already applied the non-collisional part of the derivative:

$$\langle \delta f \rangle^n \rightarrow \langle \delta f \rangle^*$$

• First, convert  $\delta f$  \* to h\*:

$$h^* \equiv \left\langle \delta f \right\rangle^* + \left\langle \phi \right\rangle F_0$$

Find the derivatives implicitly

$$\frac{h^{n+1}-h^*}{\Delta t} = C[h^{n+1}]$$

• Invert the tri-diagonal matrix to obtain  $h^{n+1}$ 

• 
$$\langle \delta f \rangle^{n+1} = h^{n+1} - \langle \phi \rangle F$$

# **Collision Operator**

- Issues:
  - As implemented, the collision operator does not obey the proper conservations laws

 The update will use the operator from [Abel et al, 2008], which conserves particles, momentum, energy, and obeys the Boltzmann H-theorem

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## **Summary of Changes**

**Calculate Fields** 

Most difficult task. Perform calculation with new velocity-space coordinates. Try to keep repeated "calculation" of  $J_0$  efficient.

Update Weights Advance Markers

Minor changes if any

Apply Collision Operator Rework – convert pitch-angle operator to new coordinates. Apply Abel operator.

## **Coding Status**

**Calculate Fields** 

#### In progress

Update Weights Advance Markers

No changes needed

Apply Collision Operator

## **Integral for Electrostatic Potential**

$$\widetilde{\phi} \propto \int dv_{\parallel} \int v_{\perp} dv_{\perp} \left\langle \delta f \right\rangle_{\mathbf{R}} J_0 \left( \frac{k_{\perp} v_{\perp}}{\Omega} \right)$$

• We're representing the distribution as:

$$\left\langle \delta f \right\rangle_{\mathbf{R}} = F_0 w(\mathbf{r}, v_{\parallel}, v_{\perp})$$
  
=  $F_0 \sum_i w_i \delta(\mathbf{r} - \mathbf{R}_i) \delta(v_{\parallel} - v_{\parallel,i}) \delta(v_{\perp} - v_{\perp i})$ 

so to calculate the integral, we just sum up the values of  $J_0 \cdot w$  for each particle and normalize

• When  $v_{\perp}$ is allowed to change, we need another way to looking up  $J_{0}$ 

## **Current Velocity Grid**

- Particles interpolated onto k grid
- Particles already have an assigned  $v_{\perp}$



$$J_0 = J_0(k_x, k_y, v_\perp)$$



### **New Velocity "Grid"**

- Particles scattered in 2D velocity- space
- Can move around in velocity space depending on the local magnetic field B(z)



$$J_0 = J_0(k_x, k_y, v_\perp, B)$$
$$= J_0(k_x, k_y, \frac{\mu}{B})$$



	Timeline	Milestone
Phase I	September – October	Transformed GK equation derived and algorithm understood
Phase II	November – January	Changes coded and debugged
Phase III	February – April	New code validated, tested, and benchmarked
Phase IV	April – May	Results organized, presentation prepared and given

# Summary

- Upgrade to GSP progressing slightly behind schedule
  - Phase I (Gyrokinetics analytics and algorithm understanding) took longer than initially expected
  - Currently on Phase II
- Coding still on track to be completed before February

#### **Questions?**

# **Gyrokinetic Derivation Summary**

- Start with Fokker-Planck equation
- Gyrokinetic ordering assumptions:

$$\frac{\omega}{\Omega} \sim \frac{v}{\Omega} \sim \frac{k_{\parallel}}{k_{\perp}} \sim \frac{v_D}{v_t} \sim \frac{\delta f}{F_0} \sim \varepsilon$$

- Order  $\varepsilon^{0}$ :
  - $F_0$  independent of gyroangle  $\theta$
- Order  $\varepsilon^1$ :
  - F<sub>0</sub> Maxwellian
  - $\delta f = -\frac{q\phi}{T}F_0 + h$  where  $\frac{\partial h}{\partial \theta} = 0$
- Order  $\varepsilon^2$ :
  - The gyrokinetic equation

#### **Gyroaveraging Fourier Transforms**

$$\left\langle e^{i\mathbf{k}\cdot\mathbf{r}} \right\rangle_{\mathbf{R}} = \frac{1}{2\pi} \int e^{i\mathbf{k}\cdot(\mathbf{R}+\rho)} d\theta = \frac{1}{2\pi} e^{i\mathbf{k}\cdot\mathbf{R}} \int e^{-i\mathbf{k}\cdot\frac{\mathbf{v}}{\Omega}} d\theta$$
$$= \frac{1}{2\pi} e^{i\mathbf{k}\cdot\mathbf{R}} \int e^{-i\frac{k_{perp}v_{perp}}{\Omega}\cos\theta} d\theta$$
$$= e^{i\mathbf{k}\cdot\mathbf{R}} J_0\left(\frac{k_{perp}v_{perp}}{\Omega}\right)$$

### **Maxwell's Equations**

• Poisson's Equation: 
$$\nabla^2 \phi = -4\pi (q_i n_i + q_e n_e)$$
  
 $n_i = \int \partial f_1(\mathbf{r}, \mathbf{v}, t) d^3 \mathbf{v}$   
 $n_e = n_0 \frac{e\phi}{T_e}$ 

Ampere's Law:

$$\nabla \times \mathbf{B} = \frac{1}{c} \frac{\partial \mathbf{E}}{\partial t} + \frac{4\pi}{c} \mathbf{J}$$

$$\mathbf{J} = en_i \overline{\mathbf{v}}_i - en_e \overline{\mathbf{v}}_e$$
$$n_i \overline{\mathbf{v}}_i = \int \delta f_1 \mathbf{v} d^3 \mathbf{v}$$

Now advance markers along characteristic trajectories:

$$\begin{aligned} x_i &= x_i + \Delta t \left( \hat{\mathbf{x}} \cdot \mathbf{v}_{Di} \right) \\ y_i &= y_i + \Delta t \left( \hat{\mathbf{y}} \cdot \mathbf{v}_{Di} \right) \\ z_i &= z_i + \Delta t \left( v_{\parallel i} \right) \end{aligned}$$

- The markers' velocity space coordinates do not need updating
- As currently implemented, markers have  $v_{\parallel}$  and  $v_{\perp}$  assigned, which are constants of motion only in a uniform magnetic field
  - This update will change coordaintes to E and μ which remain constants of motion (up to the order required) even when the magnetic field is nonuniform

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